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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

**Rick V. Murakami et al.**

Confirmation No. 4149

Application No. 09/814,607

Filed: March 22, 2001

For: **METHOD FOR BIOMETRIC  
AUTHENTICATION THROUGH  
LAYERING BIOMETRIC TRAITS**

Group Art Unit: 2131

Examiner: Aravind K. Moorthy

Attorney Docket No. 36360/1.14

Date: November 7, 2008

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

TO THE COMMISSIONER FOR PATENTS:

1. Pursuant to the duty of disclosure, documents listed on the accompanying Form PTO-1449 (or equivalent) are presented for the Examiner's consideration.

- ☒ Copies of listed U.S. patents and U.S. patent application publications are not required for submission. (37 C.F.R. § 1.98(a)(2)(ii))
- ☒ Copies of listed foreign patent documents and/or non-patent literature are enclosed. (37 C.F.R. § 1.98(a)(2))
- ☐ Copies of the documents listed at (sheet/cite no.) \_\_\_\_\_ of the attached Form PTO-1449 (or equivalent) are omitted because (1) they are already of record in U.S. Patent Application No. \_\_\_\_\_, filed \_\_\_\_\_, on which this application relies for an earlier filing date under 35 U.S.C. § 120; and (2) any information disclosure statement filed in the prosecution of Application No. \_\_\_\_\_, complies with 37 CFR §§ 1.98(a) through (c). (37 C.F.R. § 1.98(d))
- ☐ A copy of copending U.S. Patent Application No. \_\_\_\_\_, filed \_\_\_\_\_, for \_\_\_\_\_, listed at (sheet/cite no.) \_\_\_\_\_ of the attached Form PTO-1449 (or equivalent), ☐ is enclosed / ☐ is omitted. (Copy not required if available via IFW. 1287 OG 163 (Oct. 19, 2004).).

2. This information disclosure statement is being submitted (check box a., b., or c.):

- a. ☐ Within three months of the filing date of a national application or entry of the national stage in an international application; or before the mailing of a

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first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114. (No statement under 37 CFR 1.97(e) is required.); or

- b. ☒ After the period set forth in paragraph 2a, but before the mailing date of either a final action, a notice of allowance, or an action that otherwise closes prosecution in the application. (Check box i. or ii.)
- i. ☒ The \$180.00 information disclosure statement submission fee set forth in 37 CFR 1.17(p) is paid herewith, or
- ii. ☐ A statement specified by 37 CFR 1.97(e) is set forth below; or
- c. ☐ After the mailing date of a final action or notice of allowance and on or before payment of the issue fee. A statement specified by 37 CFR 1.97(e) is set forth below. Enclosed is the \$180.00 information disclosure statement processing fee set forth in 37 CFR 1.17(p).

3. Fee Payment

- a. ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- b. ☐ Please charge our Deposit Account No. 502375 in the amount of \$\_\_\_\_\_.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with filing of these papers, or credit overpayment to Deposit Account No. 502375.

4. If a statement specified by 37 CFR 1.97(e) is required, the attorney or agent signing below hereby states that:

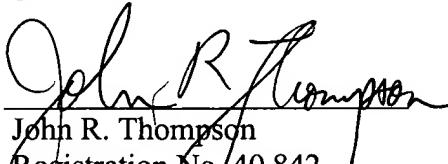
- ☐ each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
- ☐ no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

5. ☐ A concise explanation of the relevance of each document not in the English language and/or selected documents in the English language is set forth below.

Respectfully submitted,

**Ensign Holdings, LLC**

By

  
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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Rick V. Murakami et al.**

Docket No.

**36360/1.14**

Application No.

**09/814,607**

Filing Date

**March 22, 2001**

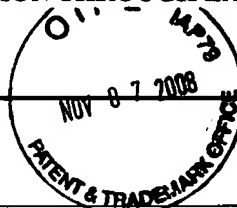
Examiner

**Aravind K. Moorthy**

Customer No.

**32642**

Group Art Unit

**2131**Invention: **METHOD FOR BIOMETRIC AUTENTICATION THROUGH LAYERING BIOMETRIC TRAITS**

I hereby certify that the following correspondence:

**Information Disclosure Statement (3 pgs.); PTO-1449 (2 pgs.) with copies of ten foreign cited references (152 pgs.) and with copies of 15 NPL documents (110 pgs.); PTO-2038 Credit Card Payment Form in the amount of \$180.00; and postcard***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

November 7, 2008  
(Date)

**John R. Thompson***(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)***EM014749108US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**